139.0003 RSW920030208US1

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Karstens

Serial No.:

Not Yet Assigned

Filed:

Concurrently Herewith

For:

METHODS AND SYSTEMS OF INSTANT MESSAGE SECURE CLIENT

CONTROL

December 11, 2003

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT UNDER § 197(a)

Sir:

This Information Disclosure Statement is being filed before a first Official Action has been mailed in this case.

Pursuant to 37 C.F.R. 1.56, 1.97 and 1.98, applicant's attorney wishes to bring to the attention of the Patent and Trademark Office the following items listed on the accompanying Forms PTO/SB/08A.

ITEMS

Patent No. **Publication Date** Patentee/Applicant Kay et al.

1. 6,430,602 Aug. 6, 2002 The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made nor shall it be construed as an admission that the information cited is considered to be material to patentability, nor shall it be construed that no other material information exists.

Respectfully submitted,

David R. Irvin

Registration No. 42,682

DRI/ssc

Docket: RSW920030208US1

Phone: 919-543-7810 / Fax: 919-254-4330

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO				Complete if Known		
INFORMATION DISCLOSURE				Application Number		
				Filing Date		
ST	ATEMENT BY A	APPI	LICANT	First Named Inventor	Karstens	
(use as many sheets as necessary)				Art Unit		
				Examiner Name		
Sheet	1	of	1	Attorney Docket Number	139.0003	

U.S. PATENT DOCUMENTS						
F	6"-	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where	
Examiner (Cite No.1	Number - Kind Code ² (if known)	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear	
	1.	US-6,430,602	08/06/2002			
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	FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (<i>if known</i>)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶		
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Signatur	e		Considered		
*EXAMINER:	: Initial if reference considered, whether	er or not citation is in conformance with MPEP 609.	. Draw line throu	gh citation if not	in conformance and not

Date

Examiner

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

Better Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached. Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.